



Gp #2122
PATENT #2
BT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

0214.03

Applicant(s): Nicolai Kosche et al.

Title: TECHNIQUE FOR ASSOCIATING EXECUTION CHARACTERISTICS
WITH INSTRUCTIONS OR OPERATIONS OF PROGRAM CODE

Application No.: 10/050,387

Filed: January 16, 2002

RECEIVED

Examiner: Ted T. Vo

Group Art Unit: 2122

FEB 12 2003

Atty: Docket No.: 004-7051

Technology Center 2100

February 7, 2003

COMMISSIONER FOR PATENTS
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97**

Dear Sir:

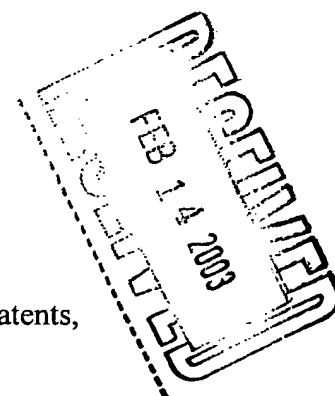
Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO-1449
☒ Other: List of Pending U.S. Patent Applications

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described below; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

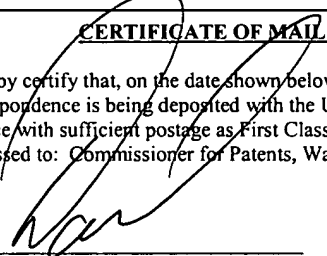
For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.



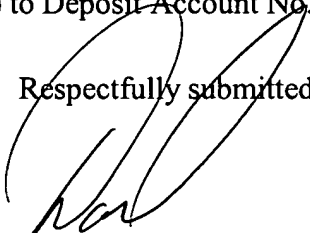
FEE AUTHORIZATION

- ☐ This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☒ The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

If however, this Information Disclosure Statement is filed after the period specified in § 1.97(b), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.

<u>CERTIFICATE OF MAILING</u>	
I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231.	
 _____ David W. O'Brien	<u>2/7/03</u> _____ Date

Respectfully submitted,


David W. O'Brien, Reg. No. 40,107
Attorney for Applicant(s)
(512) 347-9030
(512) 347-9031 (fax)



Attorney Docket No.: 004-7051
Application No.: 10/050,387
Applicant(s): Nicolai Kosche et al.
Filing Date: January 16, 2002
Group Art Unit: 2122
Date Submitted: February 7, 2003

LIST OF PENDING U.S. PATENT APPLICATIONS

The following pending U.S. patent applications are listed for consideration by the Examiner.

- 1) U. S. Pat. App. No. 10/050,358, filed Jan. 16, 2002, entitled "Technique for Associating Instructions with Execution Events," naming inventors Nicolai Kosche, et al., 34 pp.
- 2) U.S. Pat. App. No. 09/996,088, filed Nov. 28, 2001, entitled "Aggressive Prefetch of Address Chains," naming inventors Peter Damron and Nicolai Kosche, 40 pp.

RECEIVED
FEB 12 2003
Technology Center 2100

Applicant(s) are providing a separate list of pending applications, pursuant to MPEP § 609(D), to avoid publication of the application numbers on any patent that issues from the above-identified application. Applicant(s) request that the Examiner indicate consideration of the pending applications listed above in accordance with MPEP 609(C)(2) and return a copy of this page with the next communication.

U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 004-7051	
				Application No.: 10/050,387	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s): Nicolai Kosche et al.	
(Use several sheets if necessary)				Filing Date: January 16, 2002	
				Group Art Unit: 2122	
				Date Submitted: February 7, 2003	
U.S. Patent Documents					
*Examiner Initial	Document Number	Date	Name		
	AA				
	AB				
	AC				
	AD				
	AE				
	AF				
	AG				
	AH				
	AI				
	AJ				
	AK				
Foreign Patent Documents					
				Translation	
	Document	Date	Country	Yes	No
	AL				
	AM				
	AN				
	AP				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
	AR	J. Anderson et al., "Transparent, Low-Overhead Profiling on Modern Processors," <i>Proceedings of the Workshop on Profile and Feedback-Directed Compilation</i> in conjunction with International Conf. on Parallel Architectures and Compilation Techniques (PACT98), Paris, France, Oct. 13, 1998, 5 pp.			
	AS	Compaq (Digital) Continuous Profiling Infrastructure (DCPI)" 1999, [online] [Retrieved from the Internet Dec. 20, 2002] URL < http://www.tru64unix.compaq.com/dcp1 > and < http://www.research.compaq.com/SRC/dcp1 > , 8 pp.			
	AT				
Examiner		Date Considered			
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>					